APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/751,365	12/29/2000	Sada L. Reddy	1874-06	8256

7590 10/06/2004	**EXAMINER**	
Daniel F. Perez	DASS, HARISH T	

ART UNIT	PAPER NUMBER
3628	

Daniel F. Perez
Bickel & Brewer
4800 Bank One Center
1717 Main Street
Dallas, TX 75201

DATE MAILED: 10/06/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	
Office Action Summary	09/751,365	REDDY, SADA L.	
	Examiner	Art Unit	
	Harish T Dass	3628	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
  after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
  earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>29 December 2000</u>.

2a)☐ This action is **FINAL**.   2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is

closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-34</u> is/are pending in the application.

    4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) <u>1-34</u> is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

    a)☐ All   b)☐ Some *   c)☐ None of:

      1.☐ Certified copies of the priority documents have been received.

      2.☐ Certified copies of the priority documents have been received in Application No. _____.

      3.☐ Copies of the certified copies of the priority documents have been received in this National Stage

        application from the International Bureau (PCT Rule 17.2(a)).

    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1)☒ Notice of References Cited (PTO-892)

2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3)☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
    Paper No(s)/Mail Date _____.

4)☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____.

5)☐ Notice of Informal Patent Application (PTO-152)

6)☐ Other: _____.

DETAILED ACTION

Typo errors, In claims 8, 18 examiner assumes "criter1a" is typo error for "criteria".

Claim Rejections - 35 USC § 103

1.   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jan Davis

Tudor, Apr/May 1999 "A venture finance virtual community" (hereinafter Tudor) in view

of Salmon et al (hereinafter Salmon - US 5,592,375).

Re. Claim 1, Tudor discloses a website nvst.com which is matching investors and

entrepreneurs [see entire document pages 1-5], and designating a database wherein

investor-provided data and entrepreneur provided data are stored [see pages 1, 3].

Tudor does not explicitly disclose analyzing (evaluating) entrepreneur-provided data,

and matching entrepreneur-provided data with investor-provided data based on a set of

predetermined matching criteria, thereby enabling entrepreneurs to match with

appropriate investors through a web-based site. However, Salmon discloses computer-

implemented interactive matching system & method, exploration business opportunities,

and analyzing (evaluating) entrepreneur-provided data, and matching entrepreneur-

provided data with investor-provided data based on a set of predetermined matching

criteria, thereby enabling entrepreneurs to match with appropriate investors through a web-based site [see entire document particularly, Figures 1-2, 3a, 8a; C1 L6 to C2 L25; C6 L7-L24; C14 L51 to C15 L5; C2 L22-L55; C3 L13-L27] to match investors (buyers of business opportunity or services) and entrepreneurs (seller of service or ideas) for business opportunity. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to combine the disclosures of Tudor and Salmon to provides a computer-based system to facilitate any transaction where review of diverse information is a part of the buyer's (investor) decision-making process.

Re. Claims 2-3, Tudor does not explicitly disclose matching entrepreneur-provided data with investor-provided data based on a set of predetermined matching criteria, in response to analyzing said entrepreneur-provide data, and matching entrepreneur-provided data with investor-provided data based on a set of predetermined matching criteria, in response to analyzing said investor-provided data and said entrepreneur-provided data. However, Salmon discloses these features [C1 L18 to C2 L2] to provide comparison system. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to combine the disclosures of Tudor and Salmon to provides a computer-based system to facilitate any transaction.

Re. Claim 4, Tudor discloses storing said entrepreneur-provided data within said database [see page 1].

Re. Claim 5, Tudor discloses associating said database with said web-based site [page 4 paragraph 3].

Re. Claims 6-10, Tudor discloses entrepreneur-provided data to said web-based site. Tudor does not explicitly disclose prompting said entrepreneur to input entrepreneur-provided data and configuring said predetermined matching criteria with investor and entrepreneur business criteria, and configuring said predetermined matching criteria with at least one of the following matching criteria, and rendering matching information within said web-based site, in response to matching entrepreneur-provided data with investor-provided data based on a set of predetermined matching criteria. However, Salmon discloses this step [C1 L39 to C2 L25; C14 L42-L65; C15 L24-L41] to enter profile. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to combine the disclosures of Tudor and Salmon to enables the sellers (entrepreneur) to interactively enter information using nvst.com.

Re. Claims 11, 21 and 31-34, Tudor discloses a website nvst.com which is matching investors and entrepreneurs [see entire document pages 1-5], and designating a database wherein investor-provided data and entrepreneur provided data are stored [see pages 1, 3]. Tudor does not explicitly disclose program module, module residing in computer memory, analyzing (evaluating) entrepreneur-provided data, matching entrepreneur-provided data with investor-provided data based on a set of predetermined matching criteria, thereby enabling entrepreneurs to match with

appropriate investors through a web-based site, wherein each of said modules further comprise signal-bearing media, wherein said signal-bearing media comprises transmission media, wherein said signal-bearing medial comprises recordable media, wherein said signal-bearing media comprises transmission media, wherein said signal-bearing medial comprises recordable media, and a control program within a main memory of a computer linked to a computer network through a communications adapter. However, Salmon discloses computer-implemented interactive matching system & method, exploration business opportunities, and analyzing (evaluating) entrepreneur-provided data, and matching entrepreneur-provided data with investor-provided data based on a set of predetermined matching criteria, thereby enabling entrepreneurs to match with appropriate investors through a web-based site, and storage and communication devices [Fig. 3a # 324] wherein each of said modules further comprise signal-bearing media, wherein said signal-bearing media comprises transmission media, wherein said signal-bearing medial comprises recordable media, and a control program within a main memory of a computer linked to a computer network through a communications adapter [see entire document particularly, Figures 1-2, 3a, 8a; C1 L6 to C2 L25; C6 L7-L24; C14 L51 to C15 L5; C2 L22-L55; C3 L13-L27] to match investors (buyers of business opportunity or services) and entrepreneurs (seller of service or ideas) for business opportunity. Further, software modules (routines, subroutines, functions and classes) and module residing in computer memory (loading software) are will-known and implemented through out software designs and memory intrinsic part of computer. It would have been obvious at the time the invention was

made to a person having ordinary skill in the art to combine the disclosures of Tudor

and Salmon to provides a computer-based system to facilitate any transaction where

review of diverse information is a part of the buyer's (investor) decision-making process.

Re. Claims 12 and 22, claims are rejected with same rational as claim 2.

Re. Claims 13 and 23, claims are rejected with same rational as claim 3.

Re. Claims 14 and 24, claims are rejected with same rational as claim 4.

Re. Claims 15 and 25, claims are rejected with same rational as claim 5.

Re. Claims 16-10 and 26-30, claims are rejected with same rational as claim 6-10.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's

disclosure. Applicant is required under 37 CFR ' 1.111 (c) to consider the references

fully when responding to this action.

> *US 6,058,367 to Sutcliffe et al, May 2, 2000 "System for matching users based*
> *upon responses to sensory stimuli" discloses a matching system includes presentation*
> *means to present to a user a plurality of sensory stimulus sets each of which includes a*
> *plurality of stimuli, storing means to store an identifier which identifies a sensory stimulus*
> *selected by the user, a data string generator to generate a user data string for each of a*
> *plurality of users with each user data string including identifiers each of which*
> *corresponds to one of the sensory stimuli selected by the user from each of the plurality*
> *of sensory stimulus sets, a counter to count the number of like sensory stimuli chosen by*

a selected user and another user and to provide a match value and a comparison means

to compare the match value to a predetermined threshold value.

US 6,061,681 to Collins, May 9, 2000 "On-line dating service for locating and

matching people based on user-selected search criteria" discloses a system, method

and interface for matching people with each other. More particularly, this invention

relates to a computer-implemented method, system and interface thereto for matching

people with each other according to desired characteristics.

US 6,363,393 to Ribitzky, Mar. 26, 2002 "Component based object-relational

database infrastructure and user interface" discloses The invention provides a method,

system and architecture for accessing business data stored in one or more databases by

a user. The system includes a means for presenting a user with a plurality of

components with each component representing a discrete element of the business

model, having a defined relationship with each other component, and including a

plurality of information objects. The method, and architecture for providing a component

based object-relational database infrastructure and a visual interface for presenting data,

information, documents, and knowledge that are managed by or through that database

to a user.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Harish T Dass whose telephone number is 703-305-

4694. The examiner can normally be reached on 8:00 AM to 4:50 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Hyung S Sough can be reached on 703-308-0505. The fax phone number

for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Harish T Dass
Examiner
Art Unit 3628

9/29/04

HYUNG SOUGH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600